

REMARKS

Entry of the above amendments preliminary to examination of the above-identified application is respectfully requested.

If the Examiner believes there is any issue which could be resolved by a telephone or personal interview, the Examiner is respectfully requested to contact the undersigned attorney at the telephone number listed below.

Applicant hereby petitions for any extension of time which may be required to maintain the pendency of this case, and any required fee is for such extension is to be charged to Deposit Account No. 50-0436.

Respectfully submitted,  
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APPENDIXVERSION WITH MARKINGS TO SHOW CHANGES MADEIN THE CLAIMS:

The claims are amended as follows.

1. (Amended) A method for [the] a surface treatment of workpieces in which said workpiece (12, 12', 12'') is worked at least in part by at least one roll (16, 16', 16'', 74', 74'', 86', 86'') provided at least in part with an outer profile (22, 22', 76, 76', 78, 78'), comprising the steps of:  
exposing [such that] the treated surface (14, 14', 14'') of said workpiece (12, 12', 12'') [is exposed] to inherent compressive stresses; and  
exposing [the] zones located beneath said treated surface (14, 14', 14'') of said workpiece (12, 12', 12'') [are exposed] to inherent tensiles stresses axially and tangentially.
2. (Amended) The method as set forth in claim 1, [characterized in that] wherein said workpiece (12, 12', 12'') is moved in [the] an axial direction by said at least one roll (16, 16', 16'', 74', 74'', 86', 86'') provided at least in part with [an] the outer profile (22, 22', 76, 76', 78, 78').
3. (Amended) The method as set forth in claim 1 [or 2], [characterized in that] wherein said workpiece (12, 12', 12'') is worked by at least one, [more particularly] or two, roll(s) (16, 16', 16'', 74', 74'', 86', 86'') provided at least in part with [an] the outer profile (22, 22', 76, 76', 78, 78') in sequence in [the] an opposite direction.
4. (Amended) The method as set forth in any of the [claims 1 to 3] claim 1, [characterized in that] wherein said workpiece (12) having a round surface (14) is worked by at least one roll (16, 16', 16'') provided at least in part with an outer profile (22, 22') arranged parallel to said workpiece (12) and which is rotatable about the longitudinal centerline (18, 18', 18'') thereof as well as about said workpiece (12).
5. (Amended) The method as set forth in [any of the claims 1 to 3] claim 1, [characterized in that] wherein said workpiece (12'') including at least one bore (14'') or similar opening is worked by at least one roll (16, 16', 16'', 74', 74'', 86', 86'') provided at least in part with an outer profile (22, 22')

arranged parallel to said bore (14") or similar opening and which is rotatable about said longitudinal centerline (18, 18[«]) as well as about said bore (14") or similar opening.

6. (Amended) The method as set forth in claim 4 [or 5], [characterized in that] wherein said workpiece (12, 12") is worked by a roll (16, 16', 16'') provided at least in part with an outer profile (22, 22') and at least one, [more particularly] or two, substantially non-profiled roll(s) (16'') arranged about said workpiece (12) or in said at least one bore (14") or similar opening.

7. (Amended) The method as set forth in claim 6, [characterized in that] wherein said workpiece (12, 12") is worked by a roll (16'') having an outer profile (22, 22') in the form of annular beads (24) and recesses arranged at an angle ( $\alpha$ ,  $\alpha'$ ) to said longitudinal centerline (18, 18', 18'') of said roll (16, 16', 16'', 74', 74'', 86', 86''), whereby said annular beads (24) and recesses (26) arranged at an angle ( $\alpha$ ,  $\alpha'$ ) to said longitudinal centerline (18'') of said roll (16'') comprise a lead position substantially opposing each other.

8. (Amended) The method as set forth in claim 4 [or 5], [characterized in that] wherein said workpiece (12, 12") is worked by two rolls (16, 16') each provided at least in part with an outer profile (22, 22') and a substantially non-profiled roll (16'') arranged about said workpiece (12) or in said at least one bore (14") or similar opening.

9. (Amended) The method as set forth in claim 8, [characterized in that] wherein said workpiece (12, 12") is worked by two roll (16, 16') having an outer profile (22, 22'') in the form of annular beads (24) and recesses (26) arranged at an angle ( $\alpha$ ,  $\alpha'$ ) to said longitudinal centerlines (18, 18') of said rolls (16, 16').

10. (Amended) The method as set forth in claim 9, [characterized in that] wherein said two rolls (16, 16') are powered in [the] a same direction of rotation when said annular beads (24) and recesses (26) arranged at an angle ( $\alpha$ ,  $\alpha'$ ) to said longitudinal centerlines (18, 18') of said two rolls (16, 16') comprise a lead position substantially opposing each other.

11. (Amended) The method as set forth in claim 9, [characterized in that] wherein said two rolls (16, 16') are powered in [the] an opposite direction of rotation when said annular beads (24) and recesses (26) arranged at an angle ( $\alpha$ ,  $\alpha'$ ) to said longitudinal centerlines (18, 18') of said two rolls (16, 16') comprise a lead position substantially the same to each other.

12. (Amended) The method as set forth in [any of the claims 1 to 3] claim 1, [characterized in that] wherein said workpiece (12') including at least one flat surface (14') is worked by at least one roll (74', 74'', 86', 86'') provided at least in part with an outer profile (76, 76', 78, 78') arranged substantially perpendicular or at an angle  $\beta$  to said workpiece (12') and rotatable about the longitudinal centerline (80) thereof.

13. (Amended) The method as set forth in claim 12, [characterized in that] wherein said workpiece (12') is worked by at least one roll (74', 74'') provided at least in part with an outer profile (76, 76', 78, 78') and is worked or supported by at least one further roll (86', 86'') provided at least in part with an outer profile (76, 76', 78, 78') or a non-profiled roll (86, 86'') or similar supporting means located spaced away and opposite said at least one roll (74', 74'').

14. (Amended) The method as set forth in claim 13, [characterized in that] wherein said surface (14') to be treated of said workpiece (12') is worked by said at least one roll (74', 74'', 86', 86'') including an outer profile (76, 76', 78, 78') in the form of annular beads (24) and recesses (26).

15. (Amended) The method as set forth in claim 14, [characterized in that] wherein said surface (14') of said workpiece (12') to be treated is worked by several rolls (74', 74'', 86', 86'') having an outer profile (76, 76', 78, 78') in the form of annular beads (94) and recesses (96), whereby said annular beads (94) and recesses (96) of adjoining rolls (74', 74'', 86', 86'') differ from each other in their configuration and arrangement and/or each of said adjoining rolls (74', 74'', 86', 86'') is powered in a different direction of rotation.

16. (Amended) The method as set forth in claim 15, [characterized in that] wherein said surface (14') of said workpiece (12') to be treated is worked by rolls (74'', 86'') having an outer profile (78, 78') in [the] a form of annular beads (24) and recesses (26) arranged at an angle ( $\alpha$ ,  $\alpha'$ ) to said longitudinal centerlines (80) of said rolls (74'', 86''), whereby said rolls (74'', 86'') are powered in [the] a same direction of rotation for a substantially opposite lead position of said beads (24) and recesses (26) or in [the] an opposite direction of rotation for a substantially same lead position of said beads (24) and recesses (26).

17. (Amended) The method as set forth in claim 15 [or 16], [characterized in that] wherein said surface (14') of said workpiece (12') to be treated is worked by rolls (74', 86') having an outer

profile (76, 76') in [the] a form of annular beads (94) and recesses (96) arranged perpendicular to their longitudinal centerlines (80), more particularly axially staggered relatively to each other.

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112

18. (Amended) The method as set forth in [any of the claims 1 to 17] claim 1, [characterized in that] wherein said workpiece (12, 12', 12'') or said surface (14, 14') or said at least one bore (14'') or similar opening to be treated of said workpiece (12, 12', 12'') is coated with a covering of metal, such as chromium, copper or the like, and/or with a metal alloy and/or a paint and/or plastics and/or is anodized and/or galvanized and/or pickled.

19. (Amended) A device for surface treatment of workpieces (12) having a round surface (14), [more particularly for implementing the method as set forth in any of the preceding claims] which is worked at least in part by at least one roll (16, 16', 16'', 74', 74'', 86', 86'') provided at least in part with an outer profile (22, 22', 76, 76', 78, 78') by exposing the treated surface (14, 14', 14'') of said workpiece (12, 12', 12'') to inherent compressive stresses; and exposing zones located beneath said treated surface (14, 14', 14'') of said workpiece (12, 12', 12'') to inherent tensile stresses axially and tangentially, comprising:

three rolls (16, 16', 16'', 16''') arranged parallel to and about said workpiece (12) provided at least in part with an outer profile (22, 22') configured in the form of annular beads (24) and recesses (26) arranged at an angle ( $\alpha$ ,  $\alpha'$ ) to said longitudinal centerline (18, 18', 18'') of said roll (16, 16', 16'') working said surface (14) of said workpiece (12) and each rotatable about their longitudinal centerlines (18, 18', 18'', 18''') as well as in combination about said workpiece (12).

20. (Amended) The device for surface treatment of workpieces (12'') having at least one bore (14'') or similar opening, [more particularly for implementing the method as set forth in any of the preceding claims] which is worked at least in part by at least one roll (16, 16', 16'', 74', 74'', 86', 86'') provided at least in part with an outer profile (22, 22', 76, 76', 78, 78') by exposing the treated surface (14, 14', 14'') of said workpiece (12, 12', 12'') to inherent compressive stresses; and exposing zones located beneath said treated surface (14, 14', 14'') of said workpiece (12, 12', 12'') to inherent tensile stresses axially and tangentially, comprising:

at least two, more particularly three rolls (16, 16', 16'', 16''') provided at least in part with an outer profile (22, 22') configured in the form of annular beads (24) and recesses (26) arranged at an angle ( $\alpha$ ,  $\alpha'$ ) to said longitudinal centerline (18, 18', 18'') of said roll (16, 16', 16'') working said surface (14) of said workpiece (12) and each rotatable about their longitudinal centerlines (18, 18', 18'', 18''') as well as in combination about said workpiece (12) working said bore (14'') or similar opening and each

rotatable individually about their longitudinal centerlines (18, 18', 18'', 18''') as well as in combination in said bore (14'') or similar opening.

21. (Amended) The device as set forth in claim 19 [or 20], [characterized in that] wherein at least one roll, [more particularly] or two, rolls (16, 16', 16'') is/are provided at least in part with an outer profile (22, 22') working said workpiece (12, 12'').

22. (Amended) The device as set forth in claim 21, [characterized in that] wherein the remaining rolls, more particularly one roll (16''), are/is configured non-profiled.

23. (Amended) The device as set forth in [any of the claims 19 to 22] claim 19, [characterized in that] wherein said at least one, [more particularly] or two roll(s) (16, 16', 16'') are provided at least in part with an outer profile (22, 22') working said workpiece (12, 12'') in sequence in the opposite direction.

24. (Amended) The device as set forth in claim 23, [characterized in that] wherein said one roll (16'') is provided with an outer profile (22, 22') in the form of annular beads (24) and recesses arranged at an angle ( $\alpha$ ,  $\alpha'$ ) to said longitudinal centerline (18'') of said roll (16'') in a lead position substantially opposing each other.

25. (Amended) The device as set forth in claim 23, [characterized in that] wherein two adjoining rolls (16, 16') having an outer profile (22, 22') are drivable in [the] a same direction of rotation for substantially an opposed lead position of said beads (24) and recesses (26) and in [the] an opposite direction of rotation for substantially [the] a same lead position of said beads (24) and recesses (26).

26. (Amended) The device as set forth in [any of the claims] claim 19 [to recesses 25], [characterized in that] wherein said at least one roll (16, 16', 16'') is provided with non-profiled ends (30, 32).

27. (Amended) The device as set forth in claim 26, [characterized in that] wherein said non-profiled end (30) of said at least one roll (16, 16', 16'') incoming in said direction of movement of said workpiece (12, 12'') comprises a slightly smaller outer diameter.

28. (Amended) The device as set forth in claim 26 [or 27], [characterized in that] wherein said non-profiled end (32) of said at least one roll (16, 16', 16'') outgoing in said direction of movement of said workpiece (12, 12'') has a slightly larger outer diameter.

29. (Amended) The device as set forth in [any of the claims 19 to 28] claim 19, [characterized in that] wherein said rolls (16, 16', 16'', 16''') are mounted by a drive means (34) for rotating each of said rolls (16, 16', 16'', 16''') individually about their longitudinal centerlines (18, 18', 18'', 18''') and by a drive head (36) or similar drive arrangement for rotating said rolls (16, 16', 16'', 16''') in combination about said workpiece (12) or in said at least one bore (14'') or the like of said workpiece (12'').

30. (Amended) The device as set forth in claim 29, [characterized in that] wherein each of said rolls (16, 16', 16'', 16''') is non-rotatably mounted by said drive means (34) by one end (30), more particularly via a section (38) and a correspondingly shaped recess (40) of said drive means (34), and is rotatably mounted by said drive head (36) or similar drive arrangement by one end (32).

31. (Amended) The device as set forth in claim 29 [or 30], [characterized in that] wherein said drive means (34) and/or said drive head (36) is/are controllable hydraulically or pneumatically.

32. (Amended) The device as set forth in [any of the claims 29 to 31] claim 29, [characterized in that] wherein said drive means (34) comprises drive motors (46) each assigned to one of said rolls (16, 16', 16'').

33. (Amended) The device as set forth in [any of the claims 29 to 32] claim 29, [characterized in that] wherein said drive head (36) or similar drive arrangement is rotatable with a worm drive (48) powered more particularly via a separate drive motor (50).

34. (Amended) The device as set forth in [any of the claims 29 to 33] claim 29, [characterized in that] wherein said drive means (34) and said drive head (36) are configured movable relative to each other.

35. (Amended) The device as set forth in claim 34, [characterized in that] wherein said drive means (34) is longitudinally shiftable via a guide means (52) or the like and a mechanically,

electrically, hydraulically or pneumatically actuatable drive element (54), more particularly a pressure cylinder or the like.

36. (Amended) The device as set forth in [any of the claims 29 to 35] claim 29, [characterized in that] wherein said drive means (34) and/or said drive head (36) is/are provided with a centering means (58) for said workpiece (12).

37. (Amended) A device for surface treatment of workpieces (12[=]') having at least one flat surface (14'), [more particularly for implementing the method as set forth in any of the preceding claims] which is worked at least in part by at least one roll (16, 16', 16'', 74', 74'', 86', 86'') provided at least in part with an outer profile (22, 22', 76, 76', 78, 78') by exposing the treated surface (14, 14', 14'') of said workpiece (12, 12', 12'') to inherent compressive stresses; and exposing zones located beneath said treated surface (14, 14', 14'') of said workpiece (12, 12', 12'') to inherent tensile stresses axially and tangentially, comprising:

at least one roll (74', 74'', 86', 86'') arranged substantially perpendicular or at an angle  $\beta$  to the longitudinal direction (arrow 28) of said workpiece (12') which is provided with an outer profile (76, 76', 78, 78') configured in [the] a form of annular beads (94) and recesses (96) of said at least one roll (74'') arranged at an angle ( $\alpha$ ,  $\alpha'$ ) to the longitudinal centerline (80) thereof or annular beads (94) and recesses (96) arranged perpendicular working said surface (14') of said workpiece (12') at least in part and which is rotatable about the longitudinal centerline (80) thereof.

38. (Amended) The device as set forth in claim 37, [characterized in that] wherein said at least one roll (74', 74'') provided with an outer profile (76, 76', 78, 78') at least in part is assigned at least one further roll (86', 86'') provided at least in part with an outer profile (76, 76', 78, 78') or non-profiled roll (86', 86'') or like supporting means opposite.

39. (Amended) The device as set forth in [any of the claims 37 to 38] claim 37, [characterized in that] wherein said at least one roll (74', 74'', 86', 86'') provided with an outer profile (76, 78) at least in part is followed by an additional roll (74', 74'', 86', 86'') provided likewise at least in part with an outer profile (76', 78') to work said surface (14') of said workpiece (12') in sequence in [the] an opposite direction.

40. (Amended) The device as set forth in claim 39, [characterized in that] wherein said two rolls (74'', 86'') following each other comprise annular beads (94) and recesses (96) arranged at an



angle ( $\alpha$ ,  $\alpha'$ ) to the longitudinal centerlines (80) thereof, said two rolls (74", 86") being powered in the same direction of rotation when said annular beads (94) and recesses (96) comprise a lead position substantially opposing or in [the] an opposite direction of rotation when said annular beads (94) and recesses (96) comprise a lead position substantially the same.

41. (Amended) The device as set forth in claim 39 [or 40], [characterized in that] wherein said two rolls (74', 86') following each other comprise annular beads (94) and recesses (96) arranged perpendicular the longitudinal centerlines (80) thereof, said two rolls (74', 86') and/or said annular beads (94) and recesses (96) being axially staggered relative to each other.

42. (Amended) The device as set forth in [any of the claims 37 to 41] claim 37, [characterized in that] wherein at least one non-profiled roll (74, 74"', 86', 86"') is provided upstream and/or downstream of said at least one roll (74', 74"', 86', 86"') provided at least in part with an outer profile (76, 76', 78, 78') working said surface (14') of said workpiece (12') in the direction of movement of said workpiece (12').

43. (Amended) The device as set forth in claim 42, [characterized in that] wherein said at least one upstream non-profiled roll (74', 74"', 86', 86"') comprises a slightly smaller outer diameter.

44. (Amended) The device as set forth in claim 42 [or 43], [characterized in that] wherein said at least one non-profiled downstream roll (74', 74"', 86', 86"') comprises a slightly larger outer diameter.

45. (Amended) The device as set forth in [any of the claims 19 to 44] claim 37, [characterized in that] wherein said annular beads (24, 94) protrude beyond the outer diameter of said at least one roll (16, 16', 74', 74"', 86', 86"').

46. (Amended) The device as set forth in [any of the claims 37 to 45] claim 37, [characterized in that] wherein said at least one roll (74', 74"') is mounted in a mounting means (72) movable relative to supporting means (84) supporting said workpiece (12').

47. (Amended) The device as set forth in claim 46, [characterized in that] wherein said mounting means (72) is adjustable relative to said supporting means (84) via a guide means (88) [or

the like] and a mechanically, electrically, hydraulically or pneumatically actuatable drive element (90), [more particularly] or a pressure cylinder [or the like].

48. (Amended) The device as set forth in claim 46 [or 47], [characterized in that] wherein said supporting means (84) comprises said at least one further roll (86', 86'') provided at least in part with an outer profile (78, 78') or said non-profiled roll (86, 86'') [or the like].

49. (Amended) The device as set forth in [any of the claims 46 to 48] claim 46, [characterized in that] wherein said mounting means (72) and/or said supporting means (84) is/are expediently hydraulically or pneumatically controllable.

50. (Amended) The device as set forth in [any of the claims 46 to 49] claim 46, [characterized in that] wherein said at least one [(further)] roll (74', 74'', 86', 86'') provided with an outer profile (76, 76', 78, 78') at least in part and/or non-profiled is assigned in each case a separate drive motor (92).

51. (Amended) The device as set forth in [any of the claims 19 to 50] claim 37, [characterized in that] wherein said rolls (16, 16', 16'', 74', 74'', 74''', 86, 86'', 86''') are configured multi-part, [they more particularly] being composed of a roll (60) as well as a sleeve (64) non-rotatively connected to said shaft (6) together with said outer profile (22, 22', 76, 76', 78, 78') provided at least in part, said smooth incoming end (30) and said smooth outgoing end (32) or with a smooth surface throughout.

52. (Amended) The device as set forth in [any of claims 19 to 51] claim 37, [characterized in that] wherein said rolls (16, 16', 16'', 74, 74', 74'', 74''', 86, 86'', 86''') are coolable by an internal cooling system and/or an external cooling bath.

53. (Amended) A method as set forth in [any of the preceding claims] claim 1, for surface treatment of workpieces (12, 12', 12'') of metal, more particularly of base metals [such as] including aluminum, lead, chromium, iron, cobalt, nickel, copper, manganese, molybdenum, silicon, tungsten, tin, zinc, or alloys thereof [such as] including brass, preferably of steel and/or aluminum and/or alloyed aluminum such as [for example,] AlMg4.5Mn, AlMgSi0.5, AlMgSi, AlMg5, AlZn4.5Mg, AlCuMg, AlCuMg2, AlZnMgCu0.5, AlZnMgCu1.5, AlCuMgPb or of noble metals [such as]

including gold, palladium, platinum, silver or alloys thereof, or of combinations of base and noble metals.

54. (Amended) The method as set forth in [any of the claims 1 to 11 and 18] claim 1, for producing elongated sections (12, 12') of solid metal, especially where hardened and/or coated, more particularly wires, rods and strip and/or tubular material, more particularly tubing, preferably headrest brackets in automobiles.

55. (Amended) The method as set forth in [any of the claims 1 to 11 and 18] claim 1, for producing coiled, [more particularly] or hardened and/or coated workpieces preferably coiled springs.

56. (Amended) The method as set forth in [any of the claims 1 to 11 and 18] claim 1, for producing bores (14") or similar openings, [more particularly] or through-holes and/or blind holes in automotive engines.

57. (Amended) The method as set forth in [any of the claims 1 to 3 and 12 and 18] claim 1, for producing elongated sections (12, 12') of solid metal, especially where hardened and/or coated, including at least one flat surface (14') more particularly rods and strip and/or tubular material, more particularly tubing, preferably headrest brackets in automobiles.

58. (Amended) The method as set forth in claim 1, wherein [Use of a device as set forth in any of the preceding claims for surface treatment of workpieces (12, 12', 12") of] said metal, [more particularly of] includes base metals [such as] comprising aluminum, lead, chromium, iron, cobalt, nickel, copper, manganese, molybdenum, silicon, tungsten, tin[. zink], zinc or alloys thereof [such as] including brass, [preferably of] steel and/or aluminum and/or alloyed aluminum [such as for example] comprising, AlMg4.5Mn, AlMgSi0.5, AlMgSi, AlMg5, AlZn4.5Mg, AlCuMg, AlCuMg2, AlZnMgCu0.5, AlZnMgCu1.5, AlCuMgPb or of noble metals [such as] including gold, palladium, platinum, silver or alloys thereof, or of combinations of base and noble metals.

59. (Amended) The device as set forth in claim 19, wherein [Use of a device as set forth in any of the claims 19, 21 to 36, 45, 51 and 52 for producing] elongated sections (12, 12') of solid metal are produced, especially where hardened and/or coated, [more particularly] including wires, rods and strip and/or tubular material, [more particularly] including tubing, [preferably] and headrest brackets in automobiles.

60. (Amended) The device as set forth in claim 19, wherein [Use of a device as set forth in any of the claims 19, 21 to 36, 45, 51 and 52 for producing] coiled workpieces are produced, [more particularly] including hardened and/or coated workpieces preferably coiled springs.

61. (Amended) The device as set forth in claim 19, wherein [Use of a device as set forth in any of the claims 19, 21 to 36, 45, 51 and 52 for producing] bores (14") or similar openings are produced, [more particularly] including through-holes and/or blind holes in automotive engines.

62. (Amended) The device as set forth in claim 37, wherein [Use of a device as set forth in any of the claims 37 to 52 for producing] elongated sections (12, 12') of solid metal are produced, especially where hardened and/or coated, including at least one flat surface (14') [more particularly] including rods and strip and/or tubular material, [more particularly tubing, preferably] including headrest brackets in automobiles.

**IN THE ABSTRACT OF THE DISCLOSURE:**

**A new Abstract of the Disclosure was added.**